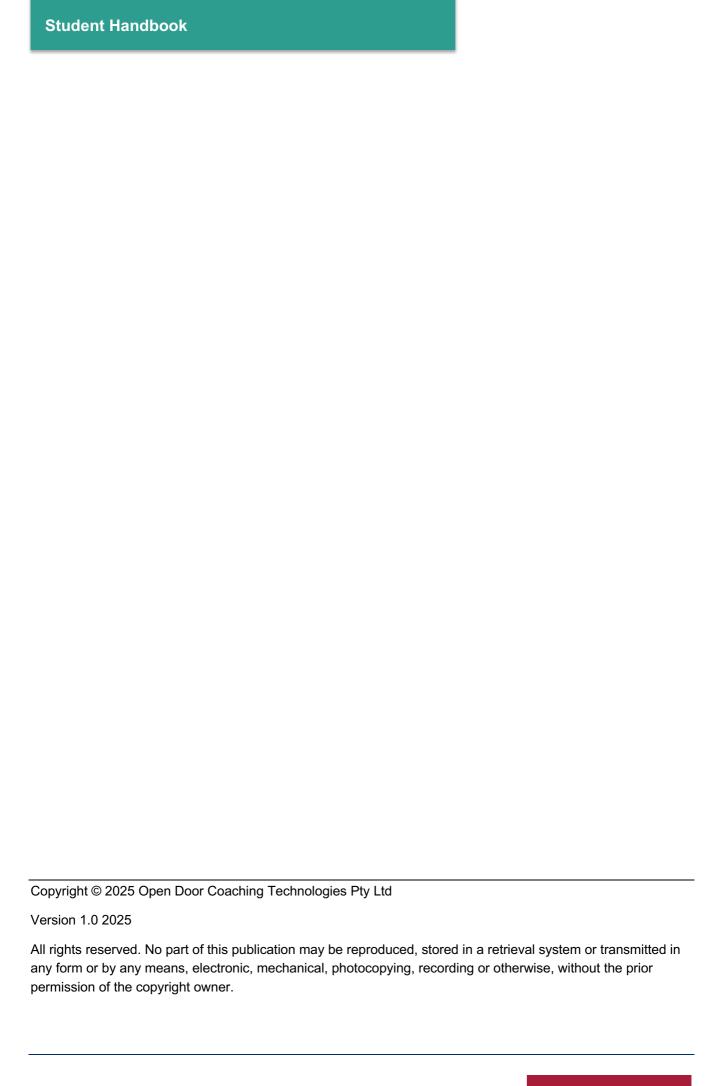




# STUDENT INFORMATION HANDBOOK

FOR ALL ACCREDITED COURSES 2025



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# **LEARNING WITH OPEN DOOR**

# Purpose of the handbook

The purpose of this Student Information Handbook is to introduce you to the services available to you at The Open Door Coaching Group (Open Door) in our capacity as a Registered Training Organisation (Provider Number 21858) in the delivery and assessment of your accredited course. This document is created as a resource for all students completing their course.

## Welcome

Open Door welcomes you to your coaching program. This handbook has information to assist you to achieve your desired training outcomes and goals and the information contained in this handbook applies to all students of Open Door.

Open Door is responsible for the quality of your training and assessment in compliance with the Standards for Registered Training Organisations 2015 and for the issuance of your certification documentation. We aim to provide you with a training environment that is conducive to both your personal and professional growth. So please take time to read your Student Information Handbook as it contains important information regarding your training and other background information.

# **About the Open Door Coaching Group (Open Door)**

Open Door is an outstanding executive coaching and workplace coach training organisation and we believe in creating workplaces that we can all be proud of.

As a Registered Training Organisation (Provider No. 21858) we offer nationally accredited courses in workplace and business coaching. We have the following courses on our scope of registration:

- 11314NAT Certificate IV in Workplace and Business Coaching
- 11315NAT Diploma of Leadership Coaching

We acknowledge the importance of adult learning principles in the delivery of effective training. We believe that all students should be encouraged to take responsibility for their own learning and to understand that as learners, they have an active role to play in their training/learning and assessment process.

All members of the Open Door Team have relevant industry knowledge and experience and are professional, friendly, supportive and dynamic individuals.

We are all here to help you succeed.

To find out more about us you can log on our website: www.opendoorcoaching.com.au

## **Open Door RTO support structure**

Open Door has an organisational structure to support you to achieve your personal and professional development goals. All the roles within the organisation report to the Chief Executive Officer.

### **Competence of our Team**

Open Door is committed to ensuring that the team involved in training, assessment or client services is competent for the functions they perform.

Open Door ensures the team delivering and assessing the courses have the appropriate qualifications before beginning delivery and assessment and are able to demonstrate vocational competencies to the level being delivered or assessed. The qualifications include coaching and training qualifications, appropriate business qualifications and business experience. The vocational competence includes professional coaching and International Coach Federation (ICF) credentials.

### **Team Code of Conduct**

The team adhere to a code of conduct regarding equity, WH&S, privacy and competence. In addition, coaches we abide by the International Coach Federation (ICF) Code of Ethics stated on their website at: <a href="https://coachingfederation.org/">https://coachingfederation.org/</a>

### Legislative requirements

Open Door complies with all relevant State and Commonwealth Government Legislation and regulatory requirements applicable to the industry, including (but not limited to):

- Standards for Registered Training Organisations 2015
- National Vocational Education and Training Regulator Act 2011
- Work Health and Safety Act 2011
- Privacy Act 1988
- Copyright Act 1968

Open Door operates in accordance with these legislative and regulatory requirements, and where appropriate, incorporates them into our policies and procedures.

# **ODCG Equity Commitment**

Open Door adheres to the principles and practices of equity in education and training and the team treats every student fairly and without discrimination.

Open Door fosters equality and access in a training environment that is fair and conducive to learning at all levels. We do our best to provide our training services are available to all participants regardless of ethnicity, gender, age, marital status or sexual orientation, physical impairment.

The Open Door team has an awareness and understanding of access and equity principles and are required to demonstrate access and equity principles in all dealings with you and other clients, students or stakeholders.

Open Door acknowledges its legal obligations under State and Federal equal opportunity law, including:

The Racial Discrimination Act, 1975 (Commonwealth)

The Racial Discrimination Act 1975 (Cth), (RDA) is a statute passed by the Australian Parliament during the Prime Ministership of Gough Whitlam. The RDA makes racial discrimination in certain contexts unlawful in Australia, and overrides States and Territory legislation to the extent of any inconsistency.

#### The Sex Discrimination Act, 1984 (Commonwealth)

The Sex Discrimination Act 1984 is an Act of the Parliament of Australia which prohibits discrimination on the basis of sex, marital or relationship status, actual or potential pregnancy, sexual orientation, gender identity, intersex status or breastfeeding in a range of areas of public life.

#### Disability Discrimination Act, 1992 (Commonwealth)

This Act may be cited as the Disability Discrimination Act 1992. ... (c) to promote recognition and acceptance within the community of the principle that persons with disabilities have the same fundamental rights as the rest of the community.

All legislation can be accessed at: www.comlaw.gov.au

Open Door has procedures in place to ensure any student concerns are addressed immediately and appropriately (refer to the Complaints and Appeals policy). If you believe you have been treated unfairly by an Open Door representative, please contact the Chief Executive Officer on 1300 00 6324 or <a href="mailto:support@opendoorcoaching.com.au">support@opendoorcoaching.com.au</a>.

# **Work Health and Safety**

Open Door complies with all relevant Work Health and Safety legislation.

Trainers take steps to identify hazards that could cause harm to participants in the classroom and learning environment, where possible and trainers will take action to remove or control these hazards, and report the hazard to the appropriate on-site personnel.

In the on-line environment, trainers attempt to provide a good learning environment, for example free from noise or static disturbance and crossed lines. Due to the nature of the on-line environment, you will be completing your training in your own space and time. This means that you must take responsibility for your own health and safety. You should follow all safety rules, procedures and the instructions within the workplace or the venue where you are completing your on-line training.

# **Cease of trading**

Open Door was established in 2003 and since this time we have delivered high quality training that meets the needs of our clients and shared the passion we have for coaching to build workplaces that we are all proud of. We love what we do, and we aren't planning on going anywhere!

However, under the Standards for Registered Training Organisations 2015 we are obliged to let you know that should Open Door cease to trade for any reason we will work with the regulatory authorities to meet our regulatory obligations which includes transferring your student files to an alternative provider.

We will also meet our obligations to advise you as soon as possible of any third-party arrangements or change in ownership that may impact your training.

# **ABOUT YOUR ENROLMENT**

### **Pre-enrolment information**

### **Marketing our courses**

Open Door will market its education and training products with integrity, accuracy, and professionalism, avoiding vague and ambiguous statements.

In the provision of information, we will not make false or misleading comparisons with any other provider or course. Open Door will not state or imply that courses, other than those on the scope of registration are recognised under an approved training scheme or the Australian Qualifications Framework.

## Selection, enrolment and induction of students

Open Door will enrol you in your course in an ethical and responsible manner, after consultation with you or the program stakeholders for an in-house program. There is no specific 'selection' process - we are more concerned with ensuring that the course meets your needs.

Open Door provides important student information to you upon enrolment into a course via this Student Information Handbook. We provide online introductory videos to induct and orientate you towards your course including navigating the eLearning system and an overview of assessments. We suggest that you watch these videos first, before you start your course work.

# Course information including content and vocational outcomes

Prior to enrolment, we will provide you with course information, including content, assessments and vocational outcomes. Please refer to individual course brochures, a proposal we have written for you or our website for course details, entry requirements (if applicable), pre-requisite courses, tuition fees, and related information.

If we are conducting an 'in-house' program for your organisation, the discussion about course information, your needs and vocational outcomes will have been conducted with your employer – typically the organiser or stakeholder of the program.

We normally conduct a training needs analysis discussion so that you can feel comfortable that the course meets your individual and organisational needs. We encourage you to contact your employer or the stakeholder if you require further information about this process.

## **Entry requirements**

The following entry requirements apply to our courses:

### **Certificate IV in Workplace and Business Coaching (11314NAT)**

There are no mandatory entry requirements. However, it is recommended students have:

- a minimum of six months employment or volunteer experience in working with a range of people in the workplace; and
- competency in literacy (reading and writing), oral communication and learning strategies sufficient to
  use coaching tools to coach people in the workplace; reflect on their coaching and receive feedback;
  and document answers to assessment questions.

# **Diploma of Leadership Coaching (11315NAT)**

To enter the Diploma, students must have:

- Successfully achieved a coaching qualification, for example Open Door's <u>Certificate IV in Workplace</u> and <u>Business Coaching</u> (10834NAT) or (11314NAT) *OR*
- An equivalent other coaching qualification OR
- Hold equivalent vocational competency and be coaching at the International Coach Federation (ICF)
   Associate Certified Coach (ACC) level or above.

It is recommended students have:

- a minimum of six months employment or volunteer experience in working with a range of people in the workplace; and
- competency in literacy (reading and writing), oral communication and learning strategies sufficient to
  use coaching tools to coach people in the workplace; reflect on their coaching and receive feedback;
  and document answers to assessment questions.
- \*\* Please contact us on 1300 006 324 or <a href="mailto:support@opendoorcoaching.com.au">support@opendoorcoaching.com.au</a> to discuss these entry requirements or click below to begin the entry process. Please note a \$295.00 fee applies.

# Government funding and fee assistance

Please contact us regarding government funding and fee assistance that may be available from time to time. Please note that VET (Vocational Education and Training) FEE-HELP schemes are not applicable to Open Door courses. Therefore, the repayment of any debt that you incur in financing your course is your responsibility.

# **Training guarantee**

Once you have commenced your course, we agree to work together with you to help you achieve the qualification you are undertaking. However, Open Door cannot guarantee that you will complete the course because this is dependent on you submitting all assessments required and being assessed as competent in those assessments.

## Course duration and extensions of your course

For course duration and extensions of your course refer to the Terms and Conditions stated on your Enrolment Form. Please note that extension fees do apply if you extend your course past the course expiry date.

# **Enrolment Process**

The enrolment process is completed by following the steps outlined below:

- 1. Select the course of study you wish to undertake and complete an Enrolment Form
- 2. Read and understand the information contained in this booklet
- 3. Complete and sign the Enrolment Form to declare that you understand all the information provided
- 4. Return the Enrolment Form to Open Door with the tuition payment

Please Note: The information collected on the Enrolment Form is used for administrative and statistical purposes and will remain confidential. For more information see our Privacy Policy.

### **Unique Student Identifier**

As part of your enrolment, you must obtain a Unique Student Identifier (USI). This is a government requirement as Open Door is not permitted to issue you with a nationally recognised VET qualification or statement of attainment when you complete your course, if you do not have a Unique Student Identifier (USI). If you have not yet obtained a USI you can apply for it directly at <a href="https://www.usi.gov.au/students/create-your-usi">https://www.usi.gov.au/students/create-your-usi</a> on a computer or mobile device.

If you have trouble obtaining a USI, please contact our office on 1300 006 324.

Please note that your enrolment is not complete, and we are unable to issue certificates until we have your USI recorded.

### Cancellations, withdrawals and refunds from the course

For cancellations, withdrawals from your course or requests for a refund please refer to the Terms and Conditions stated on your Enrolment Form.

# **Training Pathways and additional services**

The Certificate IV in Workplace and Business Coaching (11314NAT) provides a training pathway to the Diploma of Leadership Coaching (11315NAT). Both qualifications may lead to credits for other qualifications in the Business Service Training Package.

At Open Door we really believe in creating valuable, long-term relationships with our clients. Therefore from time to time, we may offer you additional services that will complement your course however these are optional and "outside" of the requirements to complete your qualifications and we will inform you if an additional cost is required.

Such services include coaching webinars and practical coaching buddy sessions.

# **ABOUT YOUR TRAINING**

# Adult learning principles

Open Door incorporates adult learning principles throughout the delivery of our courses. You are encouraged to take responsibility for your learning and to actively participate in the learning and assessment process. Open Door encourages you to:

- Prepare for training sessions by completing the subject reading prior to scheduled classes (as appropriate).
- Undertake all assessments following any assessment guidelines.
- Speak with your Facilitator or Assessor regarding any problems or issues you experience during your course.
- Participate in evaluation activities and offer constructive feedback regarding your course.
- Expect that the Open Door team will treat you with politeness and respect and you will treat the Open Door team in the same manner.
- Use the formal complaints procedures if you experience any difficulties with the Open Door team once all informal avenues have been exhausted.

# Training delivery

# **Training strategies**

Your course is delivered via several training strategies. Your training may be delivered by face-to-face training, on-line delivery or a blended approach according to the arrangements you have made with Open Door.

Your training will also (as appropriate) include:

- Workbooks and webinars for course content
- Practical demonstration of coaching skills
- Observation and feedback on your coaching
- Group discussion
- Access to course materials via the eLearning portal

Your Trainer and Assessor will be available to discuss your needs and support you throughout the course.

# **Equipment required**

All assessments are required to be submitted electronically; therefore, you will need access to a computer and internet connection throughout the duration of your course. If you are attending the course online, you will need to download the required software (for example ZOOM) and have a camera on your computer. You will also need the ability to download Microsoft Word or PDF documents and the ability to upload those documents to our eLearning site or the ability to scan the documents and upload them. Apart from computer technology here are no other specific equipment requirements.

# **Training location or software links**

If you are studying face to face in person or via an electronic platform, you will receive 'welcome information' from Open Door with details about the course including the training location or software links. Please take responsibility for your personal safety whilst travelling to and from the venue, including use of public transport and/or your safety in an online environment.

Regardless of your mode of study, you should ensure that your eLearning User ID and password is always secure and is not shared with any other individual and take further steps to ensure your privacy and an appropriate safe learning environment.

# Provision for Language, Literacy and Numeracy (LLN) Assistance

Open Door recognises that reading, writing, listening, speaking and the understanding of basic mathematical concepts and processes are often skills required in the workplace and can be important components of a course.

In our courses, the emphasis is on reading, writing, listening and speaking as these skills relate to coaching competency in the workplace. We will inform you when the skills are required throughout your training.

The course you are completing is delivered in English (only) and will require the ability to read, interpret, act independently and complete written assessments, exercises, and assignments. If you feel that you will have difficulty in this regard, then you will need to speak with us and seek an alternative method of study.

As part of the enrolment process, you will have the option to complete a language, literacy, and numeracy (LLN) exercise that will be used to assess your LLN abilities. This enables us to ensure that we are meeting your needs in this regard.

We recognise that all students are individuals with different skills. Open Door will try to ensure that you understand the learning and assessment requirements.

We recognise that you may have different needs and skills so we will try to provide:

- Appropriate assessments which may include oral questioning and demonstration as an alternative to reading and writing and consider your LNN skills.
- Assessment that does not involve a higher level of LLN skills than the task requires

In cases where you may need further assistance with LLN, we will endeavour to guide you to the most appropriate service and/or resource that may be of assistance to you. Any services or resources will be at your own cost.

If you have a Language Literacy or Numeracy concern that is affecting your course, we encourage you to raise the matter directly with your facilitator.

You may choose not to complete an LLN assessment as part of your enrolment form, by ticking that you do not require any assistance with LNN for the course.

**Note:** There is an example of a LLN assessment in Appendix 3 of this Student Information Handbook.

# Student behaviour and attendance

### **Behaviour**

You are required to follow all instructions from Open Door representatives and the organisation, act in a non-discriminatory manner always, and respect the rights of other students, staff and visitors (as appropriate).

If you act in a way that Open Door deems to be misconduct, Open Door may implement disciplinary action in the form of suspension or cancellation of your enrolment. You are expected to participate in learning activities in the spirit in which they were designed, particularly around skills practice. This will be explained to you by your trainer.

#### **Attendance**

Attendance at scheduled classes or listening to webinars is essential in ensuring that you gain the maximum benefits associated with your training and fulfil the attendance requirements of the course.

You are responsible for notifying your trainer if you are unable to attend a training session and make alternative arrangements to catch up on your training (and this may be at your own cost).

# **ABOUT YOUR ASSESSMENTS**

# **About competency-based training**

Open Door's courses follow a competency-based training (CBT) is an approach to vocational education and training that places emphasis on what a person can do in the workplace as a result of completing a course. The aim of CBT is to ensure that vocational education and courses better meet the needs of Australia's industries and enterprises.

Outcomes from CBT reflect workplace duties, working environments and performance requirements. This may include performing higher-level duties such as planning, problem solving and managing tasks through to completion.

Our courses are comprised of Units of Competency that contain specific learning outcomes, which are based on standards set by the coaching and business industry. Delivery of training may occur in a variety of forms to ensure an overall understanding of all skills and knowledge is available.

Assessment is the process of collecting evidence and making judgments on whether competency has been achieved. The purpose of assessment is to confirm that you can perform the standard expected in the workplace, as expressed in the relevant endorsed industry or enterprise competency standards. Assessments through this course will require you to complete a variety of written work, practical demonstrations and assignments.

Where you have undertaken an assessment and it has been marked as NYC (Not Yet Competent), you may resubmit the assessment. If you are deemed NYC for a second and third time you may need further assistance with that unit. This will include re-training and additional fees may apply.

# **About assessment process**

### Objectives of the assessment process

The objectives of assessment process are:

- To confirm that you have acquired the competencies identified in the endorsed Unit of Competency
- To demonstrate that you are competent to the agreed industry standard

In keeping with the principles of competency-based assessment, the determination of competence will be made on an aggregate of evidence, rather than on isolated assessment activities or events.

### What evidence will be required?

Throughout each Unit of Competency there will be a variety of assessment tasks for you to complete and we present these to you in modules. In the self-paced study environment these assessments are provided as part of the module documents for download. You may need to provide additional information, write-ups or attachments as requested. In the face-to-face environment assessment is a combination of observations, discussions and written work.

Assessment tasks are designed to ascertain your progress with your learning and progress towards achieving the competency.

Further, more formal assessment strategies are used to consolidate the evidence bank that you have been developing.

Assessment strategies and method may include:

- Completing pre-course materials
- Attending live webinars or listening to recordings
- Completion of write-ups after the live training
- Practical workplace coaching of an individual/team and a written case study
- Practical coaching to build your skills
- · Completion of exercises in workbooks
- A book report
- Mentor coaching and feedback on your coaching

# **Course monitoring**

You are required to be self-motivated to complete their course. You will be appointed an Assessor who is responsible for the delivery of your assessment results and feedback. We will do our best to keep in contact and keep you engaged in the course; however, you should maintain contact with us including ensuring we have your latest contact details.

If you believe that you may be disadvantaged in the learning environment, you should discuss this prior to your enrolment and select an alternative method of learning.

Should you experience any difficulty that may be affecting your studies please discuss this with your trainer or arrange to make an appointment with our Learning and Development Manager.

# **Recognition of Prior Learning (RPL)**

Competency-based training places emphasis on the workplace application of attained knowledge and skills - not how long a student spends in training, or the amount of knowledge acquired in a formal learning environment.

Open Door aims to ensure that your prior learning is recognised, regardless of how, when or where the learning occurred.

Recognition of Prior Learning (RPL) is the acknowledgement of your skills and knowledge, irrespective of how it has been acquired. It includes competencies gained through a combination of formal or informal training and education, work experience or general life experience.

For Open Door to grant RPL, your Assessor must be confident that you are currently competent against the endorsed industry or enterprise competency standards or outcomes specified in Australian Qualifications Framework for accredited courses.

The evidence may take a variety of forms and could include certification, references from past employers, testimonials from clients and work samples.

You may apply for RPL and supply evidence that demonstrates that you have all the skills and knowledge relating to the competency. Our Assessor will ensure that the evidence is authentic, valid, reliable, current and sufficient.

Please note that RPL cannot be granted for part of a unit. If you do not have sufficient RPL to cover the entire unit you will be required to complete the entire unit.

You may appeal in writing against a decision regarding RPL by following the 'Complaints and Appeals Policy and Procedure.'

### The RPL Process

There are several stages in the RPL process. Please contact us for further information.

- 1. **Self-assessment:** you are encouraged to undertake a self-assessment against the documented standards for the unit of competency from which you are seeking RPL.
- Enrol in the course if you wish to continue with the RPL process and pay the enrolment fee to Open Door.
- 3. Collection of evidence: collect and provide appropriate evidence.
- 4. Assessment of evidence: your Assessor will assess your application, and a decision will be made as to whether RPL can be granted. The Assessor will arrange an interview with you (telephone or face to face) to clarify and/or confirm the assessment results if required.
- 5. Timelines: the RPL process will be finalised within 28 days of receipt of your completed evidence.
- 6. **If there is a shortfall or gap in your evidence**, your Assessor will provide advise on how to meet the shortfall or gap. This may involve you paying additional funds to complete course materials.

# Credit transfer and recognition of AQF qualifications issued by other RTO's

Open Door will ensure the following definition of Credit Transfer is implemented.

Credit transfer considers the initial course or subject that an individual is using to claim access to, or the award of credit in, a destination course. The assessment determines the extent to which the client's initial course or subject is equivalent to the required learning outcomes, competency outcomes, or standards in a qualification. This may include credit transfer based on formal learning that is outside the AQF.

Australian Qualifications and Statements of Attainments that have been issued by any other Registered Training Organisation (RTO) will be recognised by Open Door.

To apply for a Credit Transfer, you must be able to:

 Present your original Qualification or Statement of Attainment with National codes and titles that match the current course in which they are enrolled.

Open Door may seek to validate the AQF qualification and/or Statement of Attainment presented for mutual recognition by contacting the registered training organisation. Where satisfactory evidence has been supplied, you will be granted credit transfer for the unit of competencies that have been successfully completed under an alternative qualification.

### **Certificate and Statement of Attainment**

Upon successful completion of all the requirements of your course you will receive a nationally recognised certificate. In cases where you do not complete the full requirements of the course, Open Door will issue you with a Statement of Attainment for the individual unit of competencies successfully completed.

Open Door will issue AQF qualifications and statements of attainment within 21 days of course completion.

Open Door will only issue AQF qualifications and statements of attainment that are within its scope of registration and that certify the achievement of:

 Qualifications or industry/enterprise competency standards from nationally endorsed Training Packages or Qualifications, competency standards or modules specified in accredited courses.

Open Door will issue, record and report AQF qualifications and statements of attainment that:

- Meet the requirements in the current Australian Qualifications Framework Implementation Handbook, including national codes
- Identify the units of competency from Training Packages, or competencies or modules from accredited courses, that the client has attained
- Identify Open Door as an RTO by its national provider number.

Open Door will note the language of delivery and assessment on AQF qualifications and statements of attainment issued if the delivery and assessment have been entirely in a language other than English. Please note, at the time of writing all courses are in English.

#### Access to student records

You may access your personal records by emailing to the Business Operations Manager. You may contact the Business Operations Manager to discuss a suitable time to view your file and access will only be granted once you can confirm your identification.

Access shall be provided within 10 business days of confirming your identification.

Information that may be accessed includes progress, personal details, and any relevant details of your enrolment that Open Door has collected.

To maintain confidentiality of your records only authorised Open Door personnel have access to the records.

It is recommended that you keep a copy of all your submitted assessment work. In accordance with our Student File Record Management policy and procedure all student assessments will be archived electronically after the issuance of the qualification.

# OTHER RELEVANT POLICIES AND PROCEDURES

# **Privacy notices**

For the privacy notices and information on mandatory data collection on behalf of State or Federal Government Agencies please refer to the statements on your Enrolment Form.

# **Open Door's Privacy Policy**

## Scope

This Privacy Policy applies to personal information collected by Open Door. This document provides a succinct overview of how we handle your personal information in accordance with the *Privacy Act* 1988 (Privacy Act) and the *Privacy Amendment (Enhancing Privacy Protection) Act 2012.* 

In Australia, privacy law generally relates to the protection of an individual's personal information. Personal information is information or an opinion about an identified individual, or an individual who is reasonably identifiable.

The Privacy Act includes thirteen (13) Australian Privacy Principles (APPs). The APPs set out standards, rights and obligations for the handling, holding, accessing and correction of personal information (including sensitive information).

Open Door ensures that all staff, trainers and assessors undertake awareness training of this policy and always comply with this policy. This policy is based on the general policy provided by ASQA.

# Personal information handling practices

#### Collection

Generally, personal information is collected for Open Door to properly and efficiently carry out our functions. Open Door only collects personal information for purposes that are directly related to our functions or activities under the *National Vocational Education and Training Regulator Act 2011* (NVR Act) or *the Freedom of Information Act 1982* (FOI Act), and only when it is reasonably necessary for or directly related to Open Door's functions.

Open Door usually collects your personal information directly from you, or your authorised representative, for example your employer.

We may collect personal information from a third party, but only if you have consented to such collection, or would reasonably expect us to collect your personal information in this way.

#### **Notification**

Open Door will take reasonable steps to notify you, or otherwise ensure you are aware of the purpose for which the information is collected and other parties it will be disclosed to. This policy provides information about how to access and seek correction of that personal information and how to lodge a complaint about a breach of the Privacy Act.

#### Use and disclosure

Open Door will only use personal information for the primary purposes for which it was collected. There are a few exceptions to this, such as when we have told you, or you would reasonably expect, that information is usually used in this secondary way. For example, some personal information provided to Open Door through enrolment forms is required to be shared with state and territory government and other Australian Government in accordance with the information sharing provisions contained in the NVR Act or the provisions of the Privacy Act.

#### **Direct marketing**

Open Door will only use your personal information for direct marketing purposes or disclose your personal information to a third party for direct marketing purpose, where it could be reasonably expected that you would be aware that we would use the information in that way.

### **Data quality**

Open Door will take reasonable steps to ensure that the personal information we collect is accurate, up to date and complete.

### **Data security**

Open Door takes steps to protect the personal information we hold against loss, unauthorised access, use, modification or disclosure, and against other misuse.

When no longer required, personal information is destroyed in a secure manner or deleted.

Access and correction

You can request access to your personal information under the Privacy Act or the *Freedom of Information Act 1982*.

You can also request that Open Door corrects the personal information it holds about you. Open Door won't charge you for these requests and will respond within 30 days. Open Door can refuse both access and correction requests in particular situations, however, a statement of reasons will be provided to you if this occurs.

#### **Complaints**

If you wish to lodge a complaint about how Open Door handles personal information or if you feel that Open Door has breached the Privacy Act, you can contact us at <a href="mailto:info@opendoorcoaching.com.au">info@opendoorcoaching.com.au</a> and your complaint will be managed by the CEO.

### Questions

If you have any questions about privacy, confidentiality or access to your personal information, you can email <a href="mailto:info@opendoorcoaching.com.au">info@opendoorcoaching.com.au</a>

# Student complaints and appeals

You have access to Open Door's complaints and appeals process. The complaints and appeals policy and procedure ensures that fair and equitable processes are implemented for any complaints or appeals raised with Open Door. The definition for a complaint and an appeal is as follows:

Complaint: Initial notification of your dissatisfaction or an issue that has occurred

**Appeal:** Application to have the outcome of a complaint reviewed due to dissatisfaction with the process that has been followed in dealing with the initial complaint or to have an assessment decision reviewed.

You can submit a formal complaint to Open Door relating to any concern you may have (should you feel a person has acted inappropriately or treated someone unfairly, etc). This can be submitted to the Chief Executive Officer.

All complaints are handled with confidence and are reviewed by the Chief Executive Officer.

You may also appeal a decision made by Open Door in regard to an assessment outcome. Where you feel you have been unfairly judged and assessed on a specified task, project or assessment you may have the assessment reviewed by submitting a request in writing. Students must provide supporting evidence or explanations as to why you feel the assessment is unfair and why you should be given further opportunity to be assessed.

Please note: Complainants have the right to access advice and support from independent external agencies / persons at any point of the complaint and appeals process. Use of external services will be at the complainant's costs unless otherwise authorised.

You have access to the Complaints and Appeals Policy and Procedure (refer to Appendix 1 in this document) and the Complaints and Appeals Form (refer to Appendix 2 in this document) are listed as Appendixes to this Student Information Handbook, and copies can also be produced by the c Service Manager at any time upon request.

# Standards of behaviour (course disciplinary procedures)

Open Door is committed to ensuring that access and equity considerations are incorporated in the provision of training delivery and assessment. This means that our students have the right to study and work in a positive environment which values diversity. All students and staff are entitled, under legislation and Open Door policy, to an environment free from all forms of harassment and discrimination.

If your behaviour impacts on the performance of others within your group, the trainer will discuss the situation directly with you and will try to resolve the problem. If there is no improvement, a written notification will be provided to you and where applicable, your employer detailing the issues.

If there is still no improvement, then, in conjunction with your employer (as appropriate), the following may occur depending on the severity and impact on other students:

- We may request you to leave the training
- You may choose not to continue with the course
- Your employer may choose to withdraw you from your course.

In addition, plagiarism of another student's work is not permitted. If your submitted assessments are found to be a copy of another student's work, we will discuss this with you directly. We will give you a reasonable

opportunity to respond to our findings, prior to acting. Action may include withdrawing you from your course.

### Fees and charges, including Refund Policy

The fees and charges payable for your course were provided to you verbally, via email, via a quote or proposal provided with your enrolment form. The enrolment form outlines payment arrangements and refund conditions pertaining to course cancellations and withdrawals.

You can request a copy of any documents if required from the Business Operations Manager.

# Support, welfare and guidance

Being a student is exciting, but it can also be challenging. The team at Open Door will offer professional and confidential advice in areas where they can help and will ensure that all efforts are taken to ensure a positive learning experience is achieved.

Whilst the entire team at Open Door all have the responsibility to provide support to students, Open Door has a nominated 'Student Support Officer' who is available to students, on an appointment basis, during standard hours of business. There is no cost to speak to the Student Support Office.

Currently the role and responsibility this 'Student Support Officer' is maintained by the person detailed below:

#### Brigitte Calvert, Learning and Development Manager, P: 1300 006 324

The Learning and Development Manager may be able to provide links to external sources of support where the team at Open Door are not qualified or it is in your best interests to seek professional advice. All external advice and services are at your own cost.

In the case of an emergency, you must contact '000' via telephone to report the emergency to appropriate authorities.

In cases of welfare guidance and assistance, information and support can be found from relevant State Government agencies.

For issues non-related to the delivery of training and assessment services please refer to your direct workplace supervisor.

For issues related to Open Door as a Registered Training Organisation, you may contact:

Australian Skills Quality Authority (ASQA)

**GPO Box 9928** 

Melbourne VIC

T: 1300 701 801

### Collection of client feedback and satisfaction data

You are encouraged to bring any issues of concern you may have to the attention of appropriate staff as soon as possible. This ensures the ability for Open Door to address any immediate areas of concern.

Feedback shall be gained from the student at various points throughout the course at an informal level.

You are also asked to complete a government issued 'Learner Questionnaire' upon completion of their course of study. This evaluation requests feedback across a range of aspects including:

- Course content
- Course delivery
- · Course assessment
- Training Staff
- Facilities
- Resource

## **Student safety**

Open Door has several processes to provide a safe and secure learning environment. However, please note that in an on-line delivery environment, it is your responsibility to ensure your own personal safety.

### **Contact us**

If you have any questions relation to this document or any component of your course you may contact us:

E: info@opendoorcoaching.com.au

T: 1300 006 324

# **APPENDIX**

# Appendix 1: Complaints and appeals policy and procedure

### 1. Policy

This policy/procedure provides a process for complaints and appeals to be heard and actioned. All complaints and appeals received by The Open Door Coaching Group (Open Door) will be viewed as an opportunity for improvement.

Despite all efforts of Open Door to provide satisfactory services to its students, complaints may occasionally arise that require non-formal or formal resolution. The following procedures provide students the opportunity to have any issues relating to a substantiated complaint or appeal resolved and resolutions reached that attempt to satisfy all parties involved.

#### 2. Procedure

Where possible all **non-formal** attempts shall be made to resolve the issue. This may include advice, discussions, and general mediation in relation to the issue and the student's issue. Any staff can be involved in this informal process to resolve issues but once a student has placed a formal complaint/appeal the following procedures must be followed.

#### 2.1 General Complaints

- Any student, potential student, or third party may submit a formal complaint to Open Door with the
  reasonable expectation that all complaints will be treated with integrity and privacy. There is no cost
  for the complaints process unless it is referred to a third party.
- Complainants have the right to access advice and support from independent external
  agencies/persons at any point of the complaint and appeals process. Use of external services will be
  at the complainant's costs unless otherwise authorised.
- A student wishing to submit a formal complaint or appeal can do so by completing the 'Complaints and Appeals Form' and state their case providing as many details as possible. The complaints and appeals policy is contained in full within the student handbook and includes the complaints and appeals form. This documentation and form can also be gained by contacting the Open Door Business Operations Manager.
- All formally submitted complaints or appeals are submitted directly to the CEO. Complaints are to include the following information:
- Submission date of complaint
- Name of complainant
- Nature of complaint
- Date of the event which lead to the complaint and
- Attachments (if applicable)
- Once a formal complaint is received it is to be entered into the 'Complaints and Appeals Register'
  which is monitored by the CEO regularly. The information to be contained and updated within the
  register is as follows:

- Submission date of complaint
- Name of complainant
- Description of complaint/appeal
- Determined Resolution and
- Date of Resolution
- A student may be assisted or accompanied by a support person regardless of the nature of the issue or complaint throughout the process at all times.
- Once a complaint has been filed and logged in the 'Complaints and Appeals Register' The CEO shall
  then resolve or make a decision on the complaint within 10 working days and keep the complainant
  informed of any decisions or outcomes concluded, or processes in place to deal with the complaint.
- Once a decision has been reached the CEO shall be required to inform all parties involved of any
  decisions or outcomes that are concluded in writing. Within the notification of the outcome of the
  formal complaint the students shall also be notified that they have the right of appeal. To appeal a
  decision Open Door must receive, in writing, grounds of the appeal. Students are referred to the
  appeals procedure.
- The CEO shall ensure that Open Door will act promptly on any substantiated complaint. If the internal
  or any external complaint handling or appeal process results in a decision that supports the student
  Open Door must promptly implement any decision and/or corrective and preventative action that is
  required and advise the student of the outcome.
- Copies of all documentation, outcomes and further action required will be placed into the 'Complaints and Appeals Register' by the Business Operations Manager and on the student's file.

#### 2.2 Appealing a Decision

All students have the right to appeal decisions made by Open Door where reasonable grounds can be established. The areas in which a student may appeal a decision made by Open Door may include:

- Assessments conducted
- Deferral, suspension, or cancellation decisions made in relation to the student's enrolment
- Or any other conclusion / decision that is made after a complaint has been dealt with by Open Door in the first instance.

To activate the appeals, process the student is to complete a 'Complaints and Appeals Form' which is to include a summary of the grounds the appeal is based upon:

- The reason the student feels the decision is unfair is to be clearly explained and help and support with this process can be gained from the Business Operations Manager.
- The CEO shall then determine the validity of the appeal and organise a meeting with all parties involved in the matter and attempt to seek resolution where appropriate.
- The process for all formally lodged appeals will begin within 10 working days of the appeal being lodged.
- The CEO shall ensure that Open Door acts on any substantiated appeal.

 Where an appeal has been lodged it will be defined into one of the following categories and the appropriate procedures followed: General appeals or Assessment Appeals.

#### General appeals

- Where a student has appealed a decision or outcome of a formal complaint they are required to notify Open Door in writing within 20 working days of the grounds of their appeal. Any supporting documentation should also be attached to the appeal.
- The appeal shall be lodged with the CEO and the Business Operations Manager shall ensure the details of the appeal are added to the 'Complaints and Appeals Register'.
- The CEO shall seek details regarding the initial documentation of the complaint and shall decide based on the grounds of the appeal.
- The student shall be notified in writing of the outcome with reasons for the decisions, and the
   'complaints and appeals register' updated. The student shall also be provided the option of activating
   the external appeals process if they are not satisfied with the outcome. The student is required to
   notify Open Door if they wish to proceed with the external appeals process.

#### Assessment appeals

- Where a student wishes to appeal an assessment, they are required to notify their assessor in the first
  instance. Where appropriate the assessor may decide to re-assess the student to ensure a fair and
  equitable decision is gained. The assessor shall complete a written report regarding the reassessment outlining the reasons why assessment was or was not granted.
- If this is still not to the student's satisfaction the student shall formally lodge an appeal by submitting a
  written letter outlining their reasons for the appeal. They shall lodge this with student administrations
  They shall lodge this with student administrations department and the appeal shall be entered into the
  'Complaints and Appeals Register'.
- The CEO shall be notified and shall seek details from the assessor involved and any other relevant parties. A decision shall be made regarding the appeal either indicating the assessment decision stands or details of a possible re-assessment by a 'third party'. The third party shall be another assessor appointed by Open Door.
- The student shall be notified in writing of the outcome with reasons for the decision, and the 'Complaints and Appeals Register' updated. The student shall also be provided the option of activating the external appeals process if they are not satisfied with the outcome. The student is required to notify Open Door if they wish to proceed with the external appeals process

#### **External Appeals**

If a client or student is still dissatisfied with the decision of Open Door once all non-formal and formal methods of appeal have been exhausted, they may wish to seek legal advice or contact the Australian Skills Quality Authority (ASQA).

Types of complaints ASQA can help with

ASQA can only deal with complaints about:

- the information provided to you by an RTO about the course/s you are interested in
- the delivery and assessment of the training you have received
- · the qualifications you have or have not been issued.

Before making a complaint to ASQA

If your complaint is about a particular RTO, firstly bring the matter to their attention. The RTO should provide you with information about how to lodge a complaint.

All RTOs are required to have policies and procedures to manage complaints in a constructive and timely manner. The service standards you can expect are set out in the

Standards for NVR registered training organisations.

If you are not satisfied with the way your complaint has been handled, or if your complaint is not related to a particular RTO, then contact ASQA.

Making a complaint to ASQA

To make a complaint, please complete the <u>Complaint about a registered training organisation</u> form which can be found on the ASQA website <u>www.asqa.gov.au</u> or at this link http://www.asqa.gov.au/verve/ resources/PDF Complaint about a registered training organisation.pdf

You can submit the form by emailing <a href="mailto:complaintsteam@asqa.gov.au">complaintsteam@asqa.gov.au</a>.

Alternatively, you can print and fill out the form, and post it to:

Complaints team
Australian Skills Quality Authority
GPO Box 9928
Melbourne VIC 3001

www.asqa.gov.au

If you need help with the form, or if you are unsure whether ASQA can help with your complaint, call the complaints team on 1300 701 801, or email complaintsteam@asqa.gov.au

If you need an interpreter, please call the Translating and Interpreting Service (TIS National) on 131 450 and ask them to telephone the Australian Skills.

# **Appendix 2: Complaints and Appeals Form**

The following is a cover sheet to support and outline your complaint/appeal. Please attach any supporting documentation. Please tick the appropriate box below: ☐ Complaint Initial notification of your dissatisfaction or an issue that has occurred ☐ Appeal Application to have the outcome of a complaint reviewed due to dissatisfaction with the process that has been followed in dealing with the initial complaint or to have an assessment decision reviewed. Date of submission: Name of Complainant: Detailed Description Of Complaint/ Appeal: (Include an outline of your complaint/appeal with details of dates and people involved) Signature:

Date:

# **Appendix 3: Example of LLN Assessment**

### Language, Literacy and Numeracy Pre-Training Test

About the Open Door Coaching Group

The Open Door Coaching Group is an outstanding executive, corporate and business coaching company. As a Registered Training Organisation (Provider No. 21858) we offer nationally accredited courses in workplace and business coaching, and other important business qualifications. The following nationally accredited courses on our scope of registration:

- 11314NAT Certificate IV in Workplace and Business Coaching
- 11315NAT Diploma of Organisational Coaching

Please complete the following questions and hand to your trainer:				
Name:	Phone Number:			
Question 1				
Please write	down one goal y	ou would like to achieve from your co	ourse:	
Question 2				
Open Door's	Provider Numbe	er is:		
□ 21858	□ 21888	□21558		
Question 3				
Open Door C	Coaching offers:			
☐ locally acc	credited courses	☐ nationally accredited courses	□ no courses	
Question 4				
What is the r	name of the cours	se associated with the course code 1	1314NAT?	

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# **Appendix 4: Definitions**

Our courses are predominantly based on the principles of Competency Based Training. Some of the common training terms you will discover are described below:

**Assessment** means the process of collecting evidence and making judgements on whether competency has been achieved to confirm an individual can perform to the standard expected in the workplace, as expressed in the relevant endorsed industry/enterprise competency standards of the learning outcomes of an accredited course.

**Competency** is a broad concept that describes a person's ability in a range of areas. Competency covers:

- Tasks skills (performing individual tasks)
- Task management skills (managing several different tasks within a job)
- Job or role environment skills (dealing with the responsibilities and expectations of the workplace)

**Competency-based Training** is aimed at providing learners with the knowledge, understanding and skills to demonstrate competence against national endorsed industry standards.

**Competency standards** reflect your knowledge and skill and the application of your knowledge and skill to the performance standard required in the workplace.

**Standards** are developed by industry parties, based on the organisation of work, and are expressed in terms of workplace outcomes. These standards are regularly reviewed to ensure their continuing relevance to the workplace.

**Competency-based Assessment** is a process of collecting evidence and making judgements on whether competency has been achieved. This is a based upon the learner being informed about the assessment process and includes the provision of information detailing the requirements for successful performance to be assessed.

Open Door applies four basic principles to the assessments we undertake. Our assessment methods need to be valid, reliable, fair and flexible. Our trainers will work with you to find the best methods in which you can demonstrate your competence in the areas required.

**Recognition of Prior Learning (RPL)** means recognition of competencies currently held, regardless of how, when or where the learning occurred.

**Registered Training Organisation (RTO)** means a training organisation registered by a registering body in accordance with the Australian Skills Quality Authority (ASQA) to deliver, assess, and issue qualifications for nationally recognised training within a defined scope of registration that identifies the services and products that an RTO can provide.

**Training package** means an integrated set of nationally endorsed competency standards, assessment guidelines and AQF qualifications for a specific industry, industry sector or enterprise.

**Training plan** means a program of training and assessment which is required under a traineeship training contract. The traineeship training contract is registered with the appropriate state government department or agency as may be required by state legislation.

**Workplace training** is training that is undertaken in the workplace and may include structured training and/or assessment, observation of work practices, case study or completion of work tasks.